

PROFESSIONAL	CERT #	COMPLAINT #	FINDINGS	RESOLUTION
Kathleen Keil	SW-2246I	2002-0048	Professional refused to reimburse clients for the difference between their cash payments to her and the amount of reimbursement provided to her by their insurance company. Professional disclosed confidential information without written authorization from the clients. Professional failed to respond to clients' repeated requests for billing records. Professional failed to obtain written informed consent to treatment from both clients.	Sanctions: 12/05/03 1 year Probation 3 semester credit hr grad ethics 6 clock hrs HIPAA workshop/training \$371.10 Civil Penalty Compliance with the Consent Agreement stipulations. Released from the Consent Agreement on 3/4/05
Kathleen Bynum	CC-2468	2003-0037	Professional admitted that she removed 152 client files from an agency without authorization from the clients or the agency. Professional's contract with agency specified that she was an independent contractor and obligated her to comply with agency's written policies and procedures. In accordance with the OBHL rules, the agency's written policies and procedures manual clearly provided that all clients files were to be maintained on the agency's premises and remain the property of the agency regardless of the transfer or termination of an individual treatment provider. Professional admitted that she accepted and kept \$55 in client co-pays, wrote receipts to clients for these co-pays, but documented in the agency's daily clinical records that she waived the co-pay. Professional returned 152 client files and 88 records were missing a treatment plan, 9 records were missing progress reports, 2 records were without proper billing items. Professional failed to provide for an appropriate transition of services for clients she saw when she decided to leave the agency.	Sanctions: 12/05/03 1 year Probation 1 year Supervision 3 semester credit hr grad ethics 6 clock hr documentation and record keeping 4 clock hr independent practices, contracts and business professionalism. Compliance with the Consent Agreement stipulations. Released from the Consent Agreement on 06/03/05.
John Bodie	SA-0844	2003-0028	Professional admitted to signing clients' names to agency client forms. Professional failed to obtain client authorizations on treatment plans, client rights and complaint process forms when he forged his clients' names on these forms.	Sanctions: 12/05/03 2 years Probation 2 years Supervision 3 semester credit hr grad ethics
Steve Johnson	MFT-10075A	2003-0029	Professional failed to obtain written authorization from client to release confidential information and used poor judgment when making comments to other clients, which resulted in poor feelings about themselves and about the professional.	Sanctions: 12/05/03 1 year Probation 1 year Supervision 3 semester credit hr grad ethics. Compliance with the Consent Agreement stipulations. Released from the Consent Agreement on 07/08/05.

Carolyn Vargas	SW-3467I	2002-0031	Professional provided treatment services to two minor children of parents in a custody/visitation dispute. Both parents had joint custody. Custody order stipulated that pursuant to ARS § 25-403(H), each parent was entitled to have access to children's medical records unless the court finds that such access would seriously endanger the children. Professional failed to provide records to father after determining the records would be counter-therapeutic to the children's well being. This action violated ARS § 25-403(H) and the court custody order. Professional did seek advice from GAL and others, but did not seek court intervention regarding this matter.	Sanctions: 12/05/03 Upon return to practice, 1 year Probation Upon return to practice, 1 year Supervision
Maria Grimaldo-Pallister	N/A	N/A	The Board received evidence that Ms. Grimaldo-Pallister used a fraudulent certificate claiming she was a certified master social worker, when she is not. Ms. Grimaldo-Pallister is not certified by this Board. Holding oneself out as a certified behavioral health professional when she is not, is a class 2 misdemeanor.	Sanctions: 12/05/03 Cease and Desist Order
Roy Franklin	SW-2813I	Self Report	Professional voluntarily notified the Board of certain medical problems relating to and interfering with his ability to safely and competently practice. Professional signed a consent agreement agreeing to surrender his certificate and that the surrender will be considered a revocation.	Sanctions: 11/07/03 REVOICATION
Karolynn Maddalone	CAMFT Appl	2003-0040	During the taking of the AAMFT/PES exam, professional went to her car prior to submitting her exam to look through papers to see if she had any information on the different models. Professional was not allowed to complete and submit her exam.	Sanctions: 11/07/03 Denied certification
Sharon Ray	SA-0517	2003-0019 2003-0025 2003-0026	Professional pled guilty to attempted burglary, as she was accused of going into three homes without permission and appeared to be looking for drugs, a class 4 felony.	Sanctions: 11/07/03 REVOICATION \$500 Civil Penalty
Miriam de Levie	SW-1778I	2002-0046	Professional failed to obtain a written consent to treatment from either parent to treat two minor children, did not document referral of services and did not have other appropriate client record documentation.	Sanctions: 11/04/03 Probation 3 semester credit hr grad ethics 12 clock hours record keeping Compliance with the Consent Agreement stipulations. Released from the Consent Agreement on 07/09/04.

Jacqueline Uhlemann	MFT-10078A	2002-0036 2003-0018	Complaint one: professional abruptly resigned an employment position and did not properly transition clients, had inappropriate record keeping and had post-resignation contacts with clients. Complaint two: professional put mother and child at increased risk of harm and failed to ensure appropriate communication with all staff members regarding the care of mother's son.	Sanctions: 10/03/03 1 year Probation 1 year Supervision 3 semester credit hr grad ethics 4 clock hours record keeping Compliance with the Consent Agreement stipulations. Released from the Consent Agreement on 01/07/05.
Sharon Ray	SA-0517	2003-0019 2003-0025 2003-0026	Professional was charged with burglary and trespass, as she was accused of going into three homes without permission and appeared to be looking for drugs.	Sanctions: 10/03/03 Summary Suspension
Deana Johnson	SA-10554	SA-Appl	During the review of the professional's application for CSAC, professional informed Board of complaint by OBHL regarding providing DUI treatment services as a licensed agency when her agency was not licensed. Board found a preponderance of evidence that a violation of making use of statements of a character tending to deceive or mislead the public occurred.	Sanctions: 09/05/03 Consent Agreement Probation 3 semester credit hr grad ethics Compliance with the Consent Agreement stipulations. Released from the Consent Agreement on 02/04/05
Carol Brogna	LCSW-1700	2002-0012	Professional did not follow client's request to provide his clinical records to another physician and the clinical file contained partially completed releases of information authorizations. Following the initial Board's decision to remand to formal hearing, the professional mailed a copy of the clinical file to the client's physician and subsequently to the client.	Sanctions: 09/05/03 3 semester credit hr grad ethics Compliance with the Consent Agreement stipulations. Released from the Consent Agreement on 09/10/04.
Geoffrey Dewhurst	SA-Appl	2003-0013	Professional misrepresented to his employer that he was a CSAC on his employment application and later when the employer requested a copy of his CSAC. In addition, the professional's resume indicated that he was a CMFT and CSAC.	Sanctions: 09/05/03 Denied Certification
Kathleen McGuire	CC-2426A	2003-0010	During the review of the professional's application for CPC, it was determined that the professional was practicing independently, she disclosed confidential client information without a written release of information authorization and she failed to maintain appropriate client file documentation.	Sanctions: 09/05/03 1 year Probation 3 semester credit hr grad ethics 6 clock hours record keeping. Compliance with the Consent Agreement stipulations. Released from the Consent Agreement on 04/01/05.

Lois Saltzman	SW-Appl	2003-0002	Upon the denial of the professional's request for reciprocity and approval to take the exam, the professional made a number of inappropriate remarks to committee members and security was called when staff members left the meeting and a staff member was approached by the professional.	Sanctions: 09/05/03 1 year Probation 1 year Supervision
David Baab	CC-10382	2002-0047	Professional failed to maintain a signed counseling plan, revealed the parentage and abuse of one sibling in front of another sibling and betraying a professional confidence.	Sanctions: 08/01/03 1 Year Probation 3 semester credit hr grad ethics 4 clock hours on record keeping Upon returning to practice, 1 Year Supervision. Compliance with the Consent Agreement stipulations. Released from the Consent Agreement on 10/07/05.
Diane Anderson	SW-0904I	2002-0041	Professional provided treatment to mother for five months and then began treatment for minor child while continuing treatment with mother. Professional agreed to stay neutral in domestic dispute. Professional subsequently wrote a letter to the mother's attorney regarding child's best interest to live with mother without notifying or without consent of father. Professional allowed her own daughter to tutor the minor child client.	Sanctions: 08/01/03 2 years Probation 2 years monthly supervision Cannot provide clinical supervision while under probation 3 semester credit hr grad. ethics \$500.00 civil penalty. Compliance with the Consent Agreement stipulations. Release from the Consent Agreement on 10/07/05.
Donice Green	SA-1553 CC-2049	Renewal	Professional terminated due to a pattern of providing her employer with inaccurate information on a time sheet and written psychosocials by clients, or client's friends, when she had been instructed to stop this activity and telling supervisors inaccurate information regarding this matter.	Sanctions: 08/01/03 Probation 3 semester credit hr grad ethics Compliance with the Consent Agreement stipulations. Released from the Consent Agreement on 11/05/04.
Sharon Praytor	SA-Applicant	2003-0009	Professional failed to meet certification requirements and failed to notify the Board of a change of address.	Sanctions: 08/01/03 Denied Certification

Linda Calderone	SW-3652I	2001-0042	Professional failed to maintain appropriate documentation in her client's file, including an executed consent to treatment for and a treatment plan.	Sanctions: 07/24/03 1 Year Probation 1 Year of Supervision Compliance with the Consent Agreement stipulations. Released from the Consent Agreement on 07/09/04.
Barbara Pennington	SA-0438	2002-0032	Professional falsified an employment application by maintaining that she had a BA from ASU and a MC from Ottawa University. Ms. Pennington submitted fraudulent diplomas and transcripts reflecting these degrees. She has never received a BA or MC.	Sanctions: 07/11/03 REVOCAION
Jeffrey A. Thomas	CC-1169	1999-0003	Professional out-of-compliance with 12/03/99 consent agreement. Board amended consent agreement.	Sanctions: 06/06/03 Order of Censure \$450.00 Civil Penalty Compliance needed by 07/31/03
Dale Pilson	SA-0589	2001-0030	Professional had ongoing written and verbal contact with a minor client/inmate, including after the client was released to probation. Pilson had no authority to contact this client and made no effort to go through proper channels to obtain permission for ongoing contact. Professional wanted client to move to his state and live with him and his wife.	Sanctions: 05/02/03 REVOCAION
Kerstin Muller	N/A	N/A	The Board received evidence that Ms. Muller signed on an agency document that she was a CSAC, when in fact, she is not. Ms. Muller is not certified by this Board. Holding oneself out as a certified behavioral health professional when s/he is not, is a class 2 misdemeanor.	Sanctions: 05/02/03 Cease and Desist Order Letter to County Attorney
Terry Fischer	CC-0095	2002-0026	On 02/04/02, the professional submitted a renewal application for certification. Upon investigation regarding this matter, the Board found nine instances of the professional's use of fraud or deceit in establishing his certification qualifications. On 04/04/03, the Board revoked the professional's certification and issued a civil penalty. The professional appealed this decision. On 07/09/04, the Superior Court of the State of Arizona in and for Maricopa County AFFIRMED the Board's 04/04/03 revocation and imposing a civil penalty and denied the professional any relief.	Sanctions: 04/04/03 REVOCAION \$500.00 Civil Penalty
Patricia Rzonca	SA-10428	Applicant for Certification	Professional had recent relapse of substance abuse.	Sanctions: 03/07/03 Consent Agreement 2 years Probation 2 years Supervision Compliance with the Consent Agreement stipulations. Released from the Consent Agreement on 07/09/04.

AnnMarie Jensen	CC-1896 SA 1096	2002-0017 2002-0024	Professional provided two EMDR treatments to a supervisee at the professional's home. Professional failed to maintain appropriate documentation, including an executed consent to treatment and a treatment plan. Following this dual relationship, professional continued to be supervisor to supervisee when the EMDR treatment sessions ended.	Sanctions: 03/07/03 Consent Agreement 1 year Probation 3 semester credit hr grad ethics Seminar of six clock hours that addresses boundary issues. Compliance with the Consent Agreement stipulations. Released from the Consent Agreement on 09/10/04.
Richard Slechta	SA-Applicant	2002-0038	Professional wrote threatening letters to the Board when the Board questioned his history recorded on his application. The Board opened a complaint due to the threatening letters. Board denied professional's application due to unprofessional conduct and dismissed the complaint.	Sanctions: 03/07/03 Denial of Certification
William Snellgrove	SW-3869I	2002-0028 2002-0029 2002-0030	Professional provided pro bono therapeutic services to the sister-in-law and brother-in-law of a co-worker with whom he had a social and romantic relationship. Professional did not obtain informed consent to treatment form and he provided no information to these clients regarding the conflict of interest created by his relationship with his co-worker. Professional allowed the co-worker to attend a session with the sister-in-law. Professional had limited documentation, no treatment plan and did not address suicide ideation of the sister-in-law.	Sanctions: 02/07/03 Consent Agreement 2 Years Probation 2 Years Supervision 3 semester credit hr grad ethics 3-clock hours record keeping
Ellen Bolcko	SA-0938	2002-0020	Professional breached confidentiality. Professional served without compensation as house manager while simultaneously owning and operating a substance abuse treatment center. While professional was uncompensated as house manager, she did receive referrals from the house to her substance abuse treatment agency.	Sanctions: 02/07/03 Consent Agreement 6 months Probation NAADAC Ethics course Compliance with the Consent Agreement stipulations. Released from the Consent Agreement on 08/06/04.
Michael Liebman	CC-0142	2002-0027	Professional billed insurance company for a group session that the client did not attend. Review of client records indicated that client attendance lists had the wrong date for group sessions on seven occasions; client attendance lists did not distinguish for each name the type of services provided; billing documents had the wrong dates related to when services were provided on six occasions; no billing documentation on four occasions; incomplete progress notes on thirteen occasions; no progress notes on four occasions; and, there were wrong dates on progress notes related to when services were provided on seven occasions. Professional admitted that he keeps client files at home in an unlocked filing cabinet.	Sanctions: 02/07/03 Consent Agreement 1 year Probation 3 semester credit hr grad ethics 6-clock hours record keeping Compliance with the Consent Agreement stipulations. Released from the Consent Agreement on 07/11/03.

Arthur A Payne	N/A	N/A	The Board received evidence that Mr. Payne had presented a Certified Substance Abuse Counseling Certificate, SA-9366, that has not been issued by the Board. The certificate is fraudulent. Mr. Payne is not certified by this Board. Holding oneself out as a certified behavioral health professional when s/he is not, is a class 2 misdemeanor.	Sanctions: 02/07/03 Cease and Desist Order Letter to County Attorney
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