# 2

# 3

# 4

# 5

# 6 7

# 8

# 9

10

11

12 13

# 14

# 15

16

17

18 19

20

21

22

2324

25

# BEFORE THE ARIZONA BOARD OF BEHAVIORAL HEALTH EXAMINERS

#### In the Matter of:

Noelle L. Landay, LCSW- 13354, Licensed Clinical Social Worker, In the State of Arizona.

# RESPONDENT

CASE NO. 2023-0065
CONSENT AGREEMENT

In the interest of a prompt and speedy settlement of the above captioned matter, consistent with the public interest, statutory requirements and responsibilities of the Arizona State Board of Behavioral Health Examiners ("Board"), and pursuant to A.R.S. §§ 32-3281(F) and 41-1092.07(F)(5), Noelle L. Landay ("Respondent") and the Board enter into this Consent Agreement, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

## **RECITALS**

Respondent understands and agrees that:

- Any record prepared in this matter, all investigative materials prepared or received by the Board concerning the allegations, and all related materials and exhibits may be retained in the Board's file pertaining to this matter.
- 2. Respondent has the right to a formal administrative hearing at which Respondent can present evidence and cross examine the State's witnesses. Respondent hereby irrevocably waives their right to such formal hearing concerning these allegations and irrevocably waives their right to any rehearing or judicial review relating to the allegations contained in this Consent Agreement.
- Respondent has the right to consult with an attorney prior to entering into this Consent Agreement.

- 4. Respondent acknowledges and agrees that upon signing this Consent Agreement and returning it to the Board's Executive Director, Respondent may not revoke their acceptance of this Consent Agreement or make any modifications to it. Any modification of this original document is ineffective and void unless mutually approved by the parties in writing.
- 5. The findings contained in the Findings of Fact portion of this Consent Agreement are conclusive evidence of the facts stated herein between only Respondent and the Board for the final disposition of this matter and may be used for purposes of determining sanctions in any future disciplinary matter.
- 6. This Consent Agreement is subject to the Board's approval, and will be effective only when the Board accepts it. In the event the Board in its discretion does not approve this Consent Agreement, this Consent Agreement is withdrawn and shall be of no evidentiary value, nor shall it be relied upon or introduced in any disciplinary action by any party hereto, except that Respondent agrees that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or of any records relating thereto.
- 7. Respondent acknowledges and agrees that the acceptance of this Consent Agreement is solely to settle this Board matter and does not preclude the Board from instituting other proceedings as may be appropriate now or in the future. Furthermore, and notwithstanding any language in this Consent Agreement, this Consent Agreement does not preclude in any way any other state agency or officer or political subdivision of this state from instituting proceedings, investigating claims, or taking legal action as may be appropriate now or in the future relating to this matter or other matters concerning Respondent, including but not limited to violations of Arizona's Consumer Fraud Act. Respondent acknowledges that, other than with respect to the Board, this Consent Agreement makes no representations, implied or

otherwise, about the views or intended actions of any other state agency or officer or political subdivision of the state relating to this matter or other matters concerning Respondent.

- 8. Respondent understands that once the Board approves and signs this Consent Agreement, it is a public record that may be publicly disseminated as a formal action of the Board, and that it shall be reported as required by law to the National Practitioner Data Bank.
- 9. Respondent further understands that any violation of this Consent Agreement constitutes unprofessional conduct pursuant to A.R.S. § 32-3251(16)(n) and may result in disciplinary action pursuant to A.R.S. § 32-3281.
- 10. The Board therefore retains jurisdiction over Respondent and may initiate disciplinary action against Respondent if it determines that they have failed to comply with the terms of this Consent Agreement or of the practice act.

The Board issues the following Findings of Fact, Conclusions of Law and Order:

## **FINDINGS OF FACT**

- Respondent is the holder of License No. LCSW-13354 for the practice of Social Work in the State of Arizona.
- 2. From 04/20 03/21, Respondent provided behavioral health services to Client at Private Practice.
- 3. According to the progress notes, Client's therapy focused on conversations regarding Client and their partner's ("Partner") relationship.
- 4. It was unclear what the goals of therapy were since Respondent failed to devise a treatment plan over the course of 11 months of treatment with Client.
- 5. At some point throughout the course of Client's treatment, Client offered the idea of Respondent hiring Partner to help Respondent promote her social media.
- 6. Respondent subsequently agreed and facilitated a consultation with Partner via Zoom.

- 7. Despite Partner never officially promoting Respondent's social media, Respondent did pay Partner \$35 for this consultation and gave Client the money to give to Partner.
- 8. Respondent failed to establish and maintain professional therapeutic boundaries with Client.
- 9. Respondent only knew of Partner through Client who was Respondent's active therapy client while Respondent engaged in this inappropriate consultation for social media promotion services, creating a possible conflict of interest by engaging in multiple roles with a client and their significant other.
- 10. A majority of Client's therapy session involved conversations about Client and Partner's relationship, yet Respondent engaged in this non-therapeutic arrangement with Partner.
- 11. Despite Respondent representing that having Partner promote her social media was Client's idea, Respondent blurred her professional boundaries by accepting Client's offer and having a consultation with Partner about the service Partner could offer Respondent as well as providing payment to Partner.
- 12. Respondent failed to document anywhere in Client's clinical record the conversation between Respondent and Client where Client offers Partner's services, as well as the consultation between Respondent and Partner.
- 13. Respondent again blurred her professional boundaries with Client by texting Client when Respondent saw Client in line at a drive through, saying she liked Client's hair.
- 14. Respondent represented that accepting Client's offer for Partner's services and Respondent texting Client outside of therapy was simply a lapse in judgment.

4

12

13

1415

16

17

19

18

2021

23

22

24

25 || .

- 15. Respondent has been independently licensed and in private practice since 2011 and engaged in this conduct with a client and their partner without seeing the potential for a negative impact on Client's therapy.
- 16. All of Client's progress notes were hand-written and failed to include the following minimum Board requirements:
  - a. The time spent providing the behavioral health service.
  - b. Indication of individual, couples, family, or group session.
  - c. The dated signature of Respondent.
  - 17. Respondent represented the following regarding her documentation deficiencies:
    - Respondent has not been completing treatment plans since the inception of Private Practice in 2011.
    - Respondent's progress notes have failed to include the 3 identified minimum requirements since she began Private Practice in 2011.
    - c. Respondent felt her dated signature on progress notes were redundant.
    - d. All of Respondent's clinical records prior to 01/23 would include the same deficiencies.
    - e. Respondent's lack of understanding of the Board's requirements was an error on her part.
    - f. Respondent was aware that a treatment plan was a Board requirement.
- 18. Respondent has since provided updated treatment plan and progress note templates to Board staff which appear to meet all minimum requirements.
- 19. Respondent has been in private practice for over ten years and does not complete any sort of treatment plans with clients or apply the basic documentation standards to her progress notes.

7 8

10

9

12

11

14

13

15 16

17

18

19

20

21 22

23

24 25

20. Respondent has completed a renewal of her LCSW license every two years and in 2019, 2021, and 2023 Respondent's renewal application included the completion of the Board's tutorial which covers rules on documentation standards and requirements.

#### **CONCLUSIONS OF LAW**

- 1. The Board has jurisdiction over Respondent pursuant to A.R.S. § 32-3251 et seg. and the rules promulgated by the Board relating to Respondent's professional practice as a licensed behavioral health professional.
- 2. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(k), engaging in any conduct or practice that is contrary to recognized standards of ethics in the behavioral health profession or that constitutes a danger to the health, welfare or safety of a client, as it relates to the following sections of the NASW Code of Ethics:

#### 1.06 (a) Conflicts of Interest

Social workers should be alert to and avoid conflicts of interest that interfere with the exercise of professional discretion and impartial judgment. Social workers should inform clients when a real or potential conflict of interest arises and take reasonable steps to resolve the issue in a manner that makes the clients' interests primary and protects clients' interests to the greatest extent possible. In some cases, protecting clients' interests may require termination of the professional relationship with proper referral of the client.

#### 1.06 (b) Conflicts of Interest

Social workers should not take unfair advantage of any professional relationship or exploit others to further their personal, religious, political, or business interests.

3. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(p), failing to conform to minimum practice standards as developed by the board, as it relates to the following:

A.A.C. R4-6-1102. Treatment Plan

A.A.C. R4-6-1103. Client Record

#### ORDER

Based upon the foregoing Findings of Fact and Conclusions of Law, the parties agree to the provisions and penalties imposed as follows:

- Respondent's license, LCSW-13354, will be placed on probation for 24 months, effective from the date of entry as signed below.
- 2. Respondent shall not practice under their license, LCSW-13354, unless they are fully compliant with all terms and conditions in this Consent Agreement. If, for any reason, Respondent is unable to comply with the terms and conditions of this Consent Agreement, they shall immediately notify the Board in writing and shall not practice under their license until they submit a written request to the Board to re-commence compliance with this Consent Agreement. All such requests shall be pre-approved by the Board Chair or designee.
- 3. In the event that Respondent is unable to comply with the terms and conditions of this Consent Agreement, all remaining time frames shall be tolled and remain tolled until such time as they are granted approval to re-commence compliance with the Consent Agreement.

#### Continuing Education

- 4. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock hours of continuing education addressing Arizona Documentation. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.
- In addition to the continuing education requirements of A.R.S. § 32-3273, within
   months of the effective date of this Consent Agreement, Respondent shall complete 3 clock

hours of continuing education addressing behavioral health ethics. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

 Respondent may submit continuing education completed since the complaint was filed for consideration of approval by the Board Chair or designee.

## Clinical Supervision

7. While on probation, Respondent shall submit to clinical supervision for 12 months by a masters or higher level behavioral health professional licensed by the Arizona Board of Behavioral Health Examiners at the independent level. Within 30 days of the date of this Consent Agreement, Respondent shall submit the name of a clinical supervisor for pre-approval by the Board Chair or designee. Also within 30 days of the date of this Consent Agreement, the clinical supervisor shall submit a letter disclosing their prior relationship to Respondent. In that letter, the clinical supervisor must address why they should be approved, acknowledge that they have reviewed the Consent Agreement and include the results of an initial assessment and a supervision plan regarding the proposed supervision of Respondent. The letter from the supervisor shall be submitted to the Board.

## **Focus and Frequency of Clinical Supervision**

8. The focus of the supervision shall focus on behavioral health ethics, Arizona documentation, Board statutes and rules, and case consultation. Respondent shall meet individually in person with the supervisor for a minimum of one-hour monthly for the first 12 months. After the first 12 months, the frequency of clinical supervision will be at the recommendation of the clinical supervisor.

#### Reports 1

 Once approved, the supervisor shall submit quarterly reports for review and approval by the Board Chair or designee. The quarterly reports shall include issues presented in

19

21 22

23

24

25

this Consent Agreement that need to be reported and the supervisor shall notify the Board if more frequent supervision is needed. Quarterly reports shall include the following:

- a. Dates of each clinical supervision session.
- b. A comprehensive description of issues discussed during supervision sessions.
- 10. All quarterly supervision reports shall include a copy of clinical supervision documentation maintained for that quarter. All clinical supervision documentation maintained by the supervisor shall comply with requirements set forth in A.A.C. R4-6-212(C).
- 11. After Respondent's probationary period, the supervisor shall submit a final summary report for review and approval by the Board Chair or designee. The final report shall also contain a recommendation as to whether the Respondent should be released from this Consent Agreement.

## **Change of Clinical Supervisor During Probation**

12. If, during the period of Respondent's probation, the clinical supervisor determines that they cannot continue as the clinical supervisor, they shall notify the Board within 10 days of the end of supervision and provide the Board with an interim final report. Respondent shall advise the Board Chair or designee within 30 days of cessation of clinical supervision by the approved clinical supervisor and provide the name of a new proposed clinical supervisor. The proposed clinical supervisor shall provide the same documentation to the Board as was required of the initial clinical supervisor.

#### **Early Release**

13. After completion of the stipulations set forth in this Consent Agreement, and upon the supervisor's recommendation, Respondent may request early release from the Consent Agreement after 12 months.

# 

# 

#### **GENERAL PROVISIONS**

## **Provision of Clinical Supervision**

14. Respondent shall not provide clinical supervision to associate level licensees accruing and submitting hours towards independent licensure while subject to this Consent Agreement.

## Civil Penalty

- 15. Subject to the provisions set forth in paragraph 16, the Board imposes a civil penalty against the Respondent in the amount of \$1,000.00.
- 16. Respondent's payment of the civil penalty shall be stayed so long as Respondent remains compliant with the terms of this Consent Agreement. If Board staff determines that Respondent is noncompliant with the terms of this Consent Agreement in any respect, with the exception of the tolling provision under paragraph 3, the stay of the civil penalty payment shall be automatically lifted and payment of the civil penalty shall be made by certified check or money order payable to the Board within 30 days after being notified in writing of the lifting of the stay.
- 17. Within 10 days of being notified of the lifting of the stay, Respondent may request that the matter be reviewed by the Board for the limited purpose of determining whether the automatic lifting of the stay was supported by clear and convincing evidence. If the Board receives the written request within 10 days or less of the next regularly scheduled Board meeting, the request will not be heard at that meeting, but will be heard at the next regularly scheduled Board meeting. The Board's decision on this matter shall not be subject to further review.
- 18. The Board reserves the right to take further disciplinary action against Respondent for noncompliance with this Consent Agreement after affording Respondent notice

and an opportunity to be heard. If a complaint is filed against Respondent for failure to comply with this Consent Agreement, the Board shall have continuing jurisdiction until the matter is final and the period of probation shall be extended until the matter is final.

- 19. If Respondent currently sees clients in their own private practice, and obtains any other type of behavioral health position, either as an employee or independent contractor, where they provide behavioral health services to clients of another individual or agency, they shall comply with requirements set forth in paragraphs 20 through 22 below.
- 20. Within 10 days of the effective date of this Order, if Respondent is working in a position where Respondent provides any type of behavioral health related services or works in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee with a signed statement from Respondent's employer(s) confirming Respondent provided the employer(s) with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days of the effective date, the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.
- 21. If Respondent is not employed as of the effective date of this Order, within 10 days of accepting employment in a position where Respondent provides any type of behavioral health related services or in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee with a written statement providing the contact information of their new employer and a signed statement from Respondent's new employer confirming Respondent provided the employer with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days, as required, Respondent's failure to provide the required statement to the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.

- 22. If, during the period of Respondent's probation, Respondent changes employment, resigns, is involuntarily terminated, resigns in lieu of termination, or goes on extended leave of absence for whatever reason that may impact their ability to timely comply with the terms of probation, Respondent shall, within 10 days of the aforementioned acts, inform the Board of their change of employment status. After the change and within 10 days of accepting employment in a position where Respondent provides any type of behavioral health related services or in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee a written statement providing the contact information of their new employer(s) and a signed statement from Respondent's new employer(s) confirming Respondent provided the employer(s) with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days, as required, Respondent's failure to provide the required statement to the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.
- 23. Respondent shall practice behavioral health using the name under which they are licensed. If Respondent changes their name, they shall advise the Board of the name change as prescribed under the Board's regulations and rules.
- 24. Prior to the release of Respondent from probation, Respondent must submit a written request to the Board for release from the terms of this Consent Agreement at least 30 days prior to the date they would like to have this matter appear before the Board. Respondent may appear before the Board, either in person or telephonically. Respondent must provide evidence that they have successfully satisfied all terms and conditions in this Consent Agreement. The Board has the sole discretion to determine whether all terms and conditions of this Consent Agreement have been met and whether Respondent has adequately demonstrated

1	that they have addressed the issues contained in this Consent Agreement. In the event that th		
2	Board determines that any or all terms and conditions of this Consent Agreement have not beer		
3	met, the Board may conduct such further proceedings as it determines are appropriate to		
4	address those matters.		
5	25.	Respondent shall bear all costs relating	g to probation terms required in this
6	Consent Agreement.		
7	26. Respondent shall be responsible for ensuring that all documentation required in		
8	this Consent Agreement is provided to the Board in a timely manner.		
9	27. This Consent Agreement shall be effective on the date of entry below.		
10	28. This Consent Agreement is conclusive evidence of the matters described herein		
11	and may be considered by the Board in determining appropriate sanctions in the event		
12	subsequent violation occurs.		
13			
14	PROFESSIONAL ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT		
15	Noelle Landay (Aug 16	, 2023 13:05 PDT)	Aug 16, 2023
16	Noelle L. Landay		Date
17	BOARD ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT		
18	By: M	li Zarola	Aug 16, 2023
19	TOI	BI ZAVALA, Executive Director	Date
20	Arizona Board of Behavioral Health Examiners		
21	ORIGINAL of the foregoing filed Aug 16, 2023 with:		
22			
	Arizona Board of Behavioral Health Examiners		
23			
<ul><li>23</li><li>24</li></ul>		Adams Street, Suite 3600	

Mona Baskin Assistant Attorney General 2005 North Central Avenue Phoenix, AZ 85004 Noelle L. Landay Address of Record Respondent