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BEFORE THE ARIZONA BOARD

OF BEHAVIORAL HEALTH EXAMINERS

#### In the Matter of:

Aubrey R. Laird, LAC-18074, Licensed Associate Counselor, In the State of Arizona.

## RESPONDENT

CASE NO. 2023-0154
CONSENT AGREEMENT

In the interest of a prompt and speedy settlement of the above captioned matter, consistent with the public interest, statutory requirements and responsibilities of the Arizona State Board of Behavioral Health Examiners ("Board"), and pursuant to A.R.S. §§ 32-3281(F) and 41-1092.07(F)(5), Aubrey R. Laird ("Respondent") and the Board enter into this Consent Agreement, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

#### **RECITALS**

Respondent understands and agrees that:

- Any record prepared in this matter, all investigative materials prepared or received by the Board concerning the allegations, and all related materials and exhibits may be retained in the Board's file pertaining to this matter.
- 2. Respondent has the right to a formal administrative hearing at which Respondent can present evidence and cross examine the State's witnesses. Respondent hereby irrevocably waives their right to such formal hearing concerning these allegations and irrevocably waives their right to any rehearing or judicial review relating to the allegations contained in this Consent Agreement and the lifting of the stay of revocation.
- Respondent has the right to consult with an attorney prior to entering into this Consent Agreement.

- 4. Respondent acknowledges and agrees that upon signing this Consent Agreement and returning it to the Board's Executive Director, Respondent may not revoke their acceptance of this Consent Agreement or make any modifications to it. Any modification of this original document is ineffective and void unless mutually approved by the parties in writing.
- 5. The findings contained in the Findings of Fact portion of this Consent Agreement are conclusive evidence of the facts stated herein between only Respondent and the Board for the final disposition of this matter and may be used for purposes of determining sanctions in any future disciplinary matter.
- 6. This Consent Agreement is subject to the Board's approval, and will be effective only when the Board accepts it. In the event the Board in its discretion does not approve this Consent Agreement, this Consent Agreement is withdrawn and shall be of no evidentiary value, nor shall it be relied upon or introduced in any disciplinary action by any party hereto, except that Respondent agrees that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or of any records relating thereto.
- 7. Respondent acknowledges and agrees that the acceptance of this Consent Agreement is solely to settle this Board matter and does not preclude the Board from instituting other proceedings as may be appropriate now or in the future. Furthermore, and notwithstanding any language in this Consent Agreement, this Consent Agreement does not preclude in any way any other state agency or officer or political subdivision of this state from instituting proceedings, investigating claims, or taking legal action as may be appropriate now or in the future relating to this matter or other matters concerning Respondent, including but not limited to violations of Arizona's Consumer Fraud Act. Respondent acknowledges that, other than with respect to the Board, this Consent Agreement makes no representations, implied or

otherwise, about the views or intended actions of any other state agency or officer or political subdivision of the state relating to this matter or other matters concerning Respondent.

- 8. Respondent understands that once the Board approves and signs this Consent Agreement, it is a public record that may be publicly disseminated as a formal action of the Board, and that it shall be reported as required by law to the National Practitioner Data Bank.
- 9. Respondent further understands that any violation of this Consent Agreement constitutes unprofessional conduct pursuant to A.R.S. § 32-3251(16)(n) and may result in disciplinary action pursuant to A.R.S. § 32-3281.
- 10. The Board therefore retains jurisdiction over Respondent and may initiate disciplinary action against Respondent if it determines that they have failed to comply with the terms of this Consent Agreement or of the practice act.

The Board issues the following Findings of Fact, Conclusions of Law and Order:

#### FINDINGS OF FACT

- Respondent is the holder of License No. LAC-18074 for the practice of counseling in the State of Arizona.
- In 02/23, the Board received information from AHCCCS alleging Respondent may have been engaging in unprofessional conduct, in which the Board members voted to open a formal complaint against Respondent.
- According to the information from AHCCCS, Respondent owned and operated
   Achieve without adequate supervision of a BHP while Respondent was acting as the BHP only being an associate level licensee.
- 4. From roughly 10/21 01/23, Respondent acted as the owner and BHP of Achieve which was a residential facility providing psychotherapy services.
- Respondent failed to ensure she was being supervised in any capacity which is required pursuant to Board rules as an associate level licensee.

6. Respondent employed at least 16 employees at Achieve which 13 of them being unlicensed BHTs, meaning Respondent allowed numerus unlicensed professionals to provide psychotherapy services while she was actively violating Board rules by not having supervision for herself.

- 7. A client list obtained by Board staff showed that Respondent provided psychotherapy services through Achieve to at least 21 clients.
- 8. A 01/05/23 notice of termination of provider participation agreement from AHCCCS included the following in part:
  - a. On 12/29/22 during an onsite inspection, there were residents at Achieve with no staff present and AHCCCS requires 24-hour supervision of the facility.
  - b. Pursuant to A.A.C. R9-10-115(4), BHTs are required to have oversight by a Behavioral Health Professional, however there is no evidence Respondent is a BHP.
  - c. Respondent is a Licensed Associated Counselor (LAC) and pursuant to A.A.C. R9-10-101(35)(a)(ii), to be designated as a BHP, an LAC must have direct supervision.
  - d. Over the weekend of 12/31/22, a BHT took clients on an armed hunting trip which is a violation of A.A.C. R9-10-716(A)(7)(a) that states the administrator shall ensure a resident does not use or have access to any materials, furnishings, or equipment to participate in any activity or treatment that may present a threat to the residents' health or safety.
  - e. AHCCCS voluntarily terminated Respondent's contract with AHCCCS effective 24 hours from receipt of this notification.
- Respondent currently works at two agencies overseeing BHTs and overseeing other staff to ensure AHCCCS and DHS compliance.

- 9. Respondent failed to comply with AHCCCS and DHS regulations while unlawfully operating her own agency, yet is currently acting in a role overseeing AHCCCS and DHS compliance when records indicate she was not complaint with AHCCCS or DHS regulations at Achieve.
- 10. During Board staff's initial investigative interview, Respondent reported two new employments that Board staff was never aware of.
- 11. Following the interview on 10/18/23, Board staff emailed Respondent informing her to update her employment information with the Board which is required by Board rules.
  - 12. Respondent never notified the Board of her employment at Achieve.
  - 13. As of 11/09/23, Respondent has failed to update her employment with the Board.

#### **CONCLUSIONS OF LAW**

- 1. The Board has jurisdiction over Respondent pursuant to A.R.S. § 32-3251 *et seq.* and the rules promulgated by the Board relating to Respondent's professional practice as a licensed behavioral health professional.
- 2. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(ii), violating any federal or state law, rule or regulation applicable to the practice of behavioral health, as it related to:

A.A.C. R4-6-205. Change of Contact Information

A.A.C. R4-6-210. Practice Limitations

A.A.C. R4-6-211. Direct Supervision; Supervised Work Experience:

General

3. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(j), engaging in conduct that the board determines is gross negligence or repeated negligence in the licensee's profession.

4. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(m), engaging or offering to engage as a licensee in activities that are not congruent with the licensee's professional education, training or experience.

#### ORDER

Based upon the foregoing Findings of Fact and Conclusions of Law, the parties agree to the provisions and penalties imposed as follows:

#### **Stayed Revocation**

- As of the effective date of this Consent Agreement, Respondent's license, LAC-18074, shall be REVOKED. However, the revocation shall be stayed and Respondent's license shall be placed on probation.
- During the stayed revocation portion of the Order, if Respondent is noncompliant
  with the terms of the Order in any way, the stay of the revocation shall be lifted and
  Respondent's license shall be automatically revoked as set forth above.
- 3. If Respondent contests the lifting of the stay as it relates to this paragraph, Respondent shall request in writing, within 10 days of being notified of the automatic revocation of licensure, that the matter be placed on the Board agenda for the Board to review and determine if the automatic revocation of Respondent's license was supported by clear and convincing evidence.
- 4. If the written request is received within 10 days of a regularly scheduled Board meeting, the request will not be heard at that meeting, but will be heard at the next regularly scheduled Board meeting.
- 5. Pending the Board's review, Respondent's license shall be reported as revoked under review. Respondent may not work in any capacity as a licensed behavioral health professional pending the Board's review. The Board's decision and Order shall not be subject to further review.

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- 6. Respondent's license, LAC-18074, will be placed on probation for 24 months, effective from the date of entry as signed below.
- 7. Respondent shall not practice under their license, LAC-18074, unless they are fully compliant with all terms and conditions in this Consent Agreement. If, for any reason, Respondent is unable to comply with the terms and conditions of this Consent Agreement, they shall immediately notify the Board in writing and shall not practice under their license until they submit a written request to the Board to re-commence compliance with this Consent Agreement. All such requests shall be pre-approved by the Board Chair or designee.
- 8. In the event that Respondent is unable to comply with the terms and conditions of this Consent Agreement, all remaining time frames shall be tolled and remain tolled until such time as they are granted approval to re-commence compliance with the Consent Agreement.
- Respondent shall update contact information within 24 hours of this executed
   Consent Agreement.

#### **Practice Restriction**

10. While on probation, a practice restriction shall be in place for no participation in supervised private practice or supervision of staff or programs in a behavioral health setting.

#### **Continuing Education**

11. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock hours of continuing education addressing fraud, waste, and abuse. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

12. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall take and pass a three-semester credit hour graduate level behavioral health ethics course from a regionally accredited college or university, pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit to the Board an official transcript establishing completion of the required course.

- 13. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock hours of continuing education in the Arizona Statutes/Regulation Tutorial. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.
- 14. In addition to the continuing education requirements of A.R.S. § 32-3273, within the last 6 months of this Consent Agreement, Respondent shall complete 12 clock hours of continuing in clinical supervision training that meets requirements in R4-6-214. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

#### Clinical Supervision

15. While on probation, Respondent shall submit to clinical supervision for 12 months by a masters or higher-level behavioral health professional licensed by the Arizona Board of Behavioral Health Examiners at the independent level. Within 30 days of the date of this Consent Agreement, Respondent shall submit the name of a clinical supervisor for pre-approval by the Board Chair or designee. Also, within 30 days of the date of this Consent Agreement, the clinical supervisor shall submit a letter disclosing their prior relationship to Respondent. In that letter, the clinical supervisor must address why they should be approved, acknowledge that they

have reviewed the Consent Agreement and include the results of an initial assessment and a supervision plan regarding the proposed supervision of Respondent. The letter from the supervisor shall be submitted to the Board.

#### Focus and Frequency of Clinical Supervision

16. The focus of the supervision shall relate to scope of practice, behavioral health ethics, continuity of care, assessing acuity of client and appropriate level of care, and Arizona statutes and rules. During each supervision session, the supervisor shall review a minimum of 3 client records chosen at random by the supervisor to ensure Respondent's compliance with current behavioral health documentation standards in Arizona. Respondent shall meet individually with the supervisor weekly for the first six months. After the first six months, the frequency for the remaining time will be at the recommendation of the clinical supervisor, but not less than monthly.

#### <u>Reports</u>

- 17. Once approved, the supervisor shall submit quarterly reports for review and approval by the Board Chair or designee. The quarterly reports shall include issues presented in this Consent Agreement that need to be reported and the supervisor shall notify the Board if more frequent supervision is needed. Quarterly reports shall include the following:
  - a. Dates of each clinical supervision session.
  - A comprehensive description of issues discussed during supervision sessions.
- 18. All quarterly supervision reports shall include a copy of clinical supervision documentation maintained for that quarter. All clinical supervision documentation maintained by the supervisor shall comply with requirements set forth in A.A.C. R4-6-212(C).

19. After Respondent's probationary period, the supervisor shall submit a final summary report for review and approval by the Board Chair or designee. The final report shall also contain a recommendation as to whether the Respondent should be released from this Consent Agreement.

#### Change of Clinical Supervisor During Probation

20. If, during the period of Respondent's probation, the clinical supervisor determines that they cannot continue as the clinical supervisor, they shall notify the Board within 10 days of the end of supervision and provide the Board with an interim final report. Respondent shall advise the Board Chair or designee within 30 days of cessation of clinical supervision by the approved clinical supervisor and provide the name of a new proposed clinical supervisor. The proposed clinical supervisor shall provide the same documentation to the Board as was required of the initial clinical supervisor.

#### **GENERAL PROVISIONS**

#### Provision of Clinical Supervision

21. Respondent shall not provide clinical supervision to associate level licensees accruing and submitting hours towards independent licensure while subject to this Consent Agreement.

#### **Civil Penalty**

- 22. Subject to the provisions set forth in paragraph 23, the Board imposes a civil penalty against the Respondent in the amount of \$1,000.00.
- 23. Respondent's payment of the civil penalty shall be stayed so long as Respondent remains compliant with the terms of this Consent Agreement. If Board staff determines that Respondent is noncompliant with the terms of this Consent Agreement in any respect, with the exception of the tolling provision under paragraph 3, the stay of the civil penalty payment shall

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be automatically lifted and payment of the civil penalty shall be made by certified check or money order payable to the Board within 30 days after being notified in writing of the lifting of the stay.

- 24. Within 10 days of being notified of the lifting of the stay, Respondent may request that the matter be reviewed by the Board for the limited purpose of determining whether the automatic lifting of the stay was supported by clear and convincing evidence. If the Board receives the written request within 10 days or less of the next regularly scheduled Board meeting, the request will not be heard at that meeting, but will be heard at the next regularly scheduled Board meeting. The Board's decision on this matter shall not be subject to further review.
- 25. The Board reserves the right to take further disciplinary action against Respondent for noncompliance with this Consent Agreement after affording Respondent notice and an opportunity to be heard. If a complaint is filed against Respondent for failure to comply with this Consent Agreement, the Board shall have continuing jurisdiction until the matter is final and the period of probation shall be extended until the matter is final.
- 26. If Respondent currently sees clients in their own private practice, and obtains any other type of behavioral health position, either as an employee or independent contractor, where they provide behavioral health services to clients of another individual or agency, they shall comply with requirements set forth in paragraphs 28 through 30 below.
- 27. Within 10 days of the effective date of this Order, if Respondent is working in a position where Respondent provides any type of behavioral health related services or works in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee with a signed statement from Respondent's employer(s) confirming Respondent provided the employer(s) with a copy of this

Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days of the effective date, the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.

- 28. If Respondent is not employed as of the effective date of this Order, within 10 days of accepting employment in a position where Respondent provides any type of behavioral health related services or in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee with a written statement providing the contact information of their new employer and a signed statement from Respondent's new employer confirming Respondent provided the employer with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days, as required, Respondent's failure to provide the required statement to the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.
- 29. If, during the period of Respondent's probation, Respondent changes employment, resigns, is involuntarily terminated, resigns in lieu of termination, or goes on extended leave of absence for whatever reason that may impact their ability to timely comply with the terms of probation, Respondent shall, within 10 days of the aforementioned acts, inform the Board of their change of employment status. After the change and within 10 days of accepting employment in a position where Respondent provides any type of behavioral health related services or in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee a written statement providing the contact information of their new employer(s) and a signed statement from Respondent's new employer(s) confirming Respondent provided the employer(s) with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to

 the Board within 10 days, as required, Respondent's failure to provide the required statement to the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.

- 30. Respondent shall practice behavioral health using the name under which they are licensed. If Respondent changes their name, they shall advise the Board of the name change as prescribed under the Board's regulations and rules.
- 31. Prior to the release of Respondent from probation, Respondent must submit a written request to the Board for release from the terms of this Consent Agreement at least 30 days prior to the date they would like to have this matter appear before the Board. Respondent may appear before the Board, either in person or telephonically. Respondent must provide evidence that they have successfully satisfied all terms and conditions in this Consent Agreement. The Board has the sole discretion to determine whether all terms and conditions of this Consent Agreement have been met and whether Respondent has adequately demonstrated that they have addressed the issues contained in this Consent Agreement. In the event that the Board determines that any or all terms and conditions of this Consent Agreement have not been met, the Board may conduct such further proceedings as it determines are appropriate to address those matters.
- 32. Respondent shall bear all costs relating to probation terms required in this Consent Agreement.
- 33. Respondent shall be responsible for ensuring that all documentation required in this Consent Agreement is provided to the Board in a timely manner.
  - 34. This Consent Agreement shall be effective on the date of entry below.
- 35. This Consent Agreement is conclusive evidence of the matters described herein and may be considered by the Board in determining appropriate sanctions in the event a subsequent violation occurs.

#### 1 PROFESSIONAL ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT 2 Ourrey Laired, UAL Nov 29, 2023 Aubrey R. Laird Date 3 4 **BOARD ACCEPTS, SIGNS AND DATES THIS CONSENT AGREEMENT** 5 Nov 29, 2023 By: 6 TOBI ZAVALA, Executive Director Date Arizona Board of Behavioral Health Examiners 7 8 Nov 29, 2023 **ORIGINAL** of the foregoing filed 9 with: 10 Arizona Board of Behavioral Health Examiners 1740 West Adams Street, Suite 3600 11 Phoenix, AZ 85007 Nov 29, 2023 12 **EXECUTED COPY** of the foregoing sent electronically 13 Mona Baskin 14 **Assistant Attorney General** 2005 North Central Avenue 15 Phoenix, AZ 85004 16 Aubrey R. Laird Address of Record 17 Respondent 18 19

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