2	In the Matter of:	
3	Darsi J. Axford, LPC-10757,	CASE NO. 2021-0129
4	Licensed Professional Counselor, In the State of Arizona.	RELEASE FROM
5	RESPONDENT	CONSENT AGREEMENT AND ORDER
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7	The Board received a request from Respondent to release them from the terms ar	
8	conditions of the Consent Agreement and Order dated October 27 th , 2021. After consideration	
9	the Board voted to release Respondent from the terms and conditions of the Conser	
10	Agreement and Order dated October 27 th , 2021.	
11	ORDER	
12	GOOD CAUSE APPEARING, IT IS THEREFORE ORDERED THAT:	
	Respondent is hereby released from all terms and conditions of the Consent Agreement	
13	and Order dated October 27 th , 2021.	
14 15	By: Mrli Zanola_	Dec 12, 2022
16	TOBI ZAVALA, Executive Director Arizona Board of Behavioral Health Exar	Date niners
17		
18	original of the foregoing filed with:	
19	Arizona Board of Behavioral Health Examiners 1740 West Adams Street, Suite 3600 Phoenix, AZ 85007	
20		
21 22	EXECUTED COPY of the foregoing sent electronically Dec 12, 2022 to:	
23	Darsi J. Axford	
24	Address of Record Respondent	
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BEFORE THE ARIZONA STATE BOARD OF BEHAVIORAL HEALTH EXAMINERS

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BEFORE THE ARIZONA BOARD OF BEHAVIORAL HEALTH EXAMINERS

In the Matter of:

Darsi J. Axford, LPC-10757, Licensed Professional Counselor, In the State of Arizona. CASE NO. 2021-0129
CONSENT AGREEMENT

RESPONDENT

In the interest of a prompt and speedy settlement of the above captioned matter, consistent with the public interest, statutory requirements and responsibilities of the Arizona State Board of Behavioral Health Examiners ("Board"), and pursuant to A.R.S. §§ 32-3281(F) and 41-1092.07(F)(5), Darsi J. Axford ("Respondent") and the Board enter into this Consent Agreement, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

RECITALS

Respondent understands and agrees that:

- Any record prepared in this matter, all investigative materials prepared or received by the Board concerning the allegations, and all related materials and exhibits may be retained in the Board's file pertaining to this matter.
- 2. Respondent has the right to a formal administrative hearing at which Respondent can present evidence and cross examine the State's witnesses. Respondent hereby irrevocably waives their right to such formal hearing concerning these allegations and irrevocably waives their right to any rehearing or judicial review relating to the allegations contained in this Consent Agreement.
- 3. Respondent has the right to consult with an attorney prior to entering into this Consent Agreement.

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- 4. Respondent acknowledges and agrees that upon signing this Consent Agreement and returning it to the Board's Executive Director, Respondent may not revoke their acceptance of this Consent Agreement or make any modifications to it. Any modification of this original document is ineffective and void unless mutually approved by the parties in writing.
- 5. The findings contained in the Findings of Fact portion of this Consent Agreement are conclusive evidence of the facts stated herein between only Respondent and the Board for the final disposition of this matter and may be used for purposes of determining sanctions in any future disciplinary matter.
- 6. This Consent Agreement is subject to the Board's approval, and will be effective only when the Board accepts it. In the event the Board in its discretion does not approve this Consent Agreement, this Consent Agreement is withdrawn and shall be of no evidentiary value, nor shall it be relied upon or introduced in any disciplinary action by any party hereto, except that Respondent agrees that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or of any records relating thereto.
- 7. Respondent acknowledges and agrees that the acceptance of this Consent Agreement is solely to settle this Board matter and does not preclude the Board from instituting other proceedings as may be appropriate now or in the future. Furthermore, and notwithstanding any language in this Consent Agreement, this Consent Agreement does not preclude in any way any other state agency or officer or political subdivision of this state from instituting proceedings, investigating claims, or taking legal action as may be appropriate now or in the future relating to this matter or other matters concerning Respondent, including but not limited to violations of Arizona's Consumer Fraud Act. Respondent acknowledges that, other than with respect to the Board, this Consent Agreement makes no representations, implied or

 otherwise, about the views or intended actions of any other state agency or officer or political subdivision of the state relating to this matter or other matters concerning Respondent.

- 8. Respondent understands that once the Board approves and signs this Consent Agreement, it is a public record that may be publicly disseminated as a formal action of the Board, and that it shall be reported as required by law to the National Practitioner Data Bank.
- 9. Respondent further understands that any violation of this Consent Agreement constitutes unprofessional conduct pursuant to A.R.S. § 32-3251(16)(n) and may result in disciplinary action pursuant to A.R.S. § 32-3281.
- 10. The Board therefore retains jurisdiction over Respondent and may initiate disciplinary action against Respondent if it determines that they have failed to comply with the terms of this Consent Agreement or of the practice act.

The Board issues the following Findings of Fact, Conclusions of Law and Order:

FINDINGS OF FACT

- 1. Respondent is the holder of License No. LPC-10757 for the practice of counseling in the state of Arizona.
- 2. From 01/08/21 02/05/21, Respondent provided two (2) family behavioral health sessions to and minor female client ("Client") and her father ("Father").
- 3. Client's stepmother ("Stepmother") had been Respondent's client for approximately three (3) years when she asked Respondent to see Client and Father for communication issues.
- 4. When beginning services with Client and Father, Respondent represents she provided individual counseling to Father and family counseling to Client and Father.
- Although Respondent maintained separate clinical records for the individual and family services, the consents for treatment did not indicate whether the services were individual or family.

- 6. Accordingly, the lack of specificity in the clinical documentation did not clarify the nature of the relationship Respondent had with each family member.
 - 7. Respondent represented the following to Board staff:
 - a. Respondent might have met Father once before when he came in for a couple's session with Stepmother.
 - For years, Respondent has heard about the "crap" that has come from Mother.
 - c. Respondent heard a lot about Client's mother ("Mother") and Father's conflicts with their children and parental interference issues.
 - Respondent had seen emails and texts from Mother that were caustic,
 vindictive and vengeful.
 - e. "And [Mother], in my opinion, from everything I've heard, is extremely manipulative."
 - f. Respondent acknowledged that she probably was biased against Mother.
- 8. Despite having provided services to Stepmother for years, being keenly aware of the intense family dynamics, as well as being aware of her own admitted bias towards Mother, Respondent chose to initiate behavioral health services with Client and Father.
- 9. Respondent indicated to Board staff that she does not think the bias she may have against Mother had any influence on the services she provided despite sending the following email:
 - a. "And its clearly making her crazy that I haven't responded...I will NOT be responding...she knows damn good and well that I can't respond without a consent form signed...she is so manipulative. Ugh. If she continues to email me, I may block her email address."

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- NOTE: Respondent intended to send this email to Father, but inadvertently sent it to Mother.
- 10. Despite being independently licensed for nearly two decades, Respondent agreed to perform potentially conflicting roles by providing behavioral health services to Client and Father.
- 11. Additionally, despite being aware that Father and Mother were divorced, Respondent did not request to see documentation of the divorce decree or custody agreement and stated the following to Board staff:
 - a. "I didn't even know I was supposed to."
 - b. "Do Marriage and Family Therapists typically go, 'I need to see the custody agreement?'"
 - c. When asked if she has experience working with minor clients involved in high conflict family cases, Respondent stated, "I normally don't get into any of that stuff."
- 12. Despite Respondent's lack of experience with high conflict family cases, she did not consult with, or staff Client's case with other behavioral health professionals.
- 13. By providing services to Client and Father without the requisite experience or appropriate consultation, Respondent exceeded the scope of her therapeutic competence.
- 14. During the course of her treatment with Respondent, Client was a minor, and Mother was joint legal guardian with Father.
 - 15. On 02/20/21, Mother sent Respondent an email requesting Clients records.
- 16. Despite A.R.S. § 12-2293 requiring a licensee to release records to a patient or the patient's health care decision maker upon their written request, Respondent failed to provide Mother with Client's records.
 - 17. Respondent represented the following to Board staff:

- Respondent did not provide the records to Mother because she did not have a signed consent from Father to release Client's records.
- Father's records were part of the clinical records and it did not seem right to Respondent for Mother to be able to see that.
- 18. While A.R.S. § 12-2293 authorizes a health care provider to deny a request for records that is reasonably likely to cause substantial harm to a client, the health care provider must note this determination in the client's record and provide to the client or the client's health care decision maker a written explanation of the reason for the denial of access.
 - 19. There was not a reasonable basis for Respondent to deny Mother's request.
- 20. Respondent's clinical record for Client and Father contained the following documentation deficiencies:
- a. The consents for treatment for Father and Client were missing one required element.
 - b. The treatment plan was missing two required elements.
 - c. The progress notes were missing one required element.
- 21. After receiving this Board complaint, Respondent submitted updated documents that appear to meet minimum Board requirements.

CONCLUSIONS OF LAW

- The Board has jurisdiction over Respondent pursuant to A.R.S. § 32-3251 et seq.
 and the rules promulgated by the Board relating to Respondent's professional practice as a
 licensed behavioral health professional.
- 2. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(m), engaging or offering to engage as a licensee in activities that are not congruent with the licensee's professional education, training or experience.

3. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(k), engaging in any conduct or practice that is contrary to recognized standards of ethics in the behavioral health profession or that constitutes a danger to the health, welfare or safety of a client, as it relates to the ACA Code of Ethics:

A.6.b. Multiple Clients:

When a counselor agrees to provide counseling services to two or more persons who have a relationship, the counselor clarifies at the outset which person or persons are clients and the nature of the relationships the counselor will have with each involved person. If it becomes apparent that the counselor may be called upon to perform potentially conflicting roles, the counselor will clarify, adjust, or withdraw from roles appropriately.

- 4. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(p), failing to conform to minimum practice standards as developed by the board, as it relates to:
 - a. A.A.C. R4-6-1101, Consent for Treatment
 - b. A.A.C. R4-6-1102, Treatment Plan
 - c. A.A.C. R4-6-1103, Client Record
- 5. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(kk), failing to make client records in the licensee's possession promptly available to the client, a minor client's parent, the client's legal guardian or the client's authorized representative on receipt of proper authorization to do so from the client, a minor client's parent, the client's legal guardian or the client's authorized representative.

<u>ORDER</u>

Based upon the foregoing Findings of Fact and Conclusions of Law, the parties agree to the provisions and penalties imposed as follows:

 Respondent's license, LPC-10757, will be placed on probation for 12 months, effective from the date of entry as signed below.

- 2. Respondent shall not practice under their license, LPC-10757, unless they are fully compliant with all terms and conditions in this Consent Agreement. If, for any reason, Respondent is unable to comply with the terms and conditions of this Consent Agreement, they shall immediately notify the Board in writing and shall not practice under their license until they submit a written request to the Board to re-commence compliance with this Consent Agreement. All such requests shall be pre-approved by the Board Chair or designee.
- 3. In the event that Respondent is unable to comply with the terms and conditions of this Consent Agreement, all remaining time frames shall be tolled and remain tolled until such time as they are granted approval to re-commence compliance with the Consent Agreement.

Practice Restriction

4. While on probation, Respondent will not accept new clients that are children or couples.

Continuing Education

- 5. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 6 clock hours of the NASW Staying Out of Trouble continuing education course or an equivalent course addressing current behavioral health documentation standards in Arizona. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.
- 6. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 6 clock hours of continuing education addressing treating children. All required continuing education

shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

- 7. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 6 clock hours of continuing education addressing boundaries and conflicts of interest. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.
- 8. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall take and pass a three semester credit hour graduate level behavioral health ethics course addressing marriage and family therapy from a regionally accredited college or university, pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit to the Board an official transcript establishing completion of the required course.

Clinical Supervision

9. While on probation, Respondent shall submit to clinical supervision for 12 months by a masters or higher level behavioral health professional licensed by the Arizona Board of Behavioral Health Examiners at the independent level. Within 30 days of the date of this Consent Agreement, Respondent shall submit the name of a clinical supervisor for pre-approval by the Board Chair or designee. Also within 30 days of the date of this Consent Agreement, the clinical supervisor shall submit a letter disclosing their prior relationship to Respondent. In that letter, the clinical supervisor must address why they should be approved, acknowledge that they have reviewed the Consent Agreement and include the results of an initial assessment and a supervision plan regarding the proposed supervision of Respondent. The letter from the supervisor shall be submitted to the Board.

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Focus and Frequency of Clinical Supervision

10. The focus of the supervision shall relate to conflicts of interest, boundaries, documentation and family relationships for couples clients currently receiving treatment. Respondent shall meet individually in person with the supervisor for a minimum of two hours per month if working fulltime. If Respondent is not seeing couples as clients, quarterly verification from the supervisor is needed to confirm that couples are not being seen.

Reports

- 11. Once approved, the supervisor shall submit quarterly reports for review and approval by the Board Chair or designee. The quarterly reports shall include issues presented in this Consent Agreement that need to be reported and the supervisor shall notify the Board if more frequent supervision is needed. Quarterly reports shall include the following:
 - a. Dates of each clinical supervision session.
 - b. A comprehensive description of issues discussed during supervision sessions.
- 12. All quarterly supervision reports shall include a copy of clinical supervision documentation maintained for that quarter. All clinical supervision documentation maintained by the supervisor shall comply with requirements set forth in A.A.C. R4-6-212(C).
- 13. After Respondent's probationary period, the supervisor shall submit a final summary report for review and approval by the Board Chair or designee. The final report shall also contain a recommendation as to whether the Respondent should be released from this Consent Agreement.

Change of Clinical Supervisor During Probation

14. If, during the period of Respondent's probation, the clinical supervisor determines that they cannot continue as the clinical supervisor, they shall notify the Board within 10 days of the end of supervision and provide the Board with an interim final report. Respondent shall

advise the Board Chair or designee within 30 days of cessation of clinical supervision by the approved clinical supervisor and provide the name of a new proposed clinical supervisor. The proposed clinical supervisor shall provide the same documentation to the Board as was required of the initial clinical supervisor.

GENERAL PROVISIONS

Provision of Clinical Supervision

15. Respondent shall not provide clinical supervision while subject to this Consent Agreement.

Civil Penalty

- 16. Subject to the provisions set forth in paragraph 16, the Board imposes a civil penalty against the Respondent in the amount of \$1,000.00.
- 17. Respondent's payment of the civil penalty shall be stayed so long as Respondent remains compliant with the terms of this Consent Agreement. If Board staff determines that Respondent is noncompliant with the terms of this Consent Agreement in any respect, with the exception of the tolling provision under paragraph 3, the stay of the civil penalty payment shall be automatically lifted and payment of the civil penalty shall be made by certified check or money order payable to the Board within 30 days after being notified in writing of the lifting of the stay.
- 18. Within 10 days of being notified of the lifting of the stay, Respondent may request that the matter be reviewed by the Board for the limited purpose of determining whether the automatic lifting of the stay was supported by clear and convincing evidence. If the Board receives the written request within 10 days or less of the next regularly scheduled Board meeting, the request will not be heard at that meeting, but will be heard at the next regularly scheduled Board meeting. The Board's decision on this matter shall not be subject to further review.

- 19. The Board reserves the right to take further disciplinary action against Respondent for noncompliance with this Consent Agreement after affording Respondent notice and an opportunity to be heard. If a complaint is filed against Respondent for failure to comply with this Consent Agreement, the Board shall have continuing jurisdiction until the matter is final and the period of probation shall be extended until the matter is final.
- 20. If Respondent currently sees clients in their own private practice, and obtains any other type of behavioral health position, either as an employee or independent contractor, where they provide behavioral health services to clients of another individual or agency, they shall comply with requirements set forth in paragraphs 21 through 23 below.
- 21. Within 10 days of the effective date of this Order, if Respondent is working in a position where Respondent provides any type of behavioral health related services or works in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee with a signed statement from Respondent's employer(s) confirming Respondent provided the employer(s) with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days of the effective date, the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.
- 22. If Respondent is not employed as of the effective date of this Order, within 10 days of accepting employment in a position where Respondent provides any type of behavioral health related services or in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee with a written statement providing the contact information of their new employer and a signed statement from Respondent's new employer confirming Respondent provided the employer with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days, as required, Respondent's failure to provide the required statement to the Board

shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.

- 23. If, during the period of Respondent's probation, Respondent changes employment, resigns, is involuntarily terminated, resigns in lieu of termination, or goes on extended leave of absence for whatever reason that may impact their ability to timely comply with the terms of probation, Respondent shall, within 10 days of the aforementioned acts, inform the Board of their change of employment status. After the change and within 10 days of accepting employment in a position where Respondent provides any type of behavioral health related services or in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee a written statement providing the contact information of their new employer(s) and a signed statement from Respondent's new employer(s) confirming Respondent provided the employer(s) with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days, as required, Respondent's failure to provide the required statement to the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.
- 24. Respondent shall practice behavioral health using the name under which they are licensed. If Respondent changes their name, they shall advise the Board of the name change as prescribed under the Board's regulations and rules.
- 25. Prior to the release of Respondent from probation, Respondent must submit a written request to the Board for release from the terms of this Consent Agreement at least 30 days prior to the date they would like to have this matter appear before the Board. Respondent may appear before the Board, either in person or telephonically. Respondent must provide evidence that they have successfully satisfied all terms and conditions in this Consent Agreement. The Board has the sole discretion to determine whether all terms and conditions of

Phoenix, AZ 85007

1	EXECUTED COPY of the foregoing sent electronically Oct 27, 2021 to:
	to:
2	Mona Baskin
3	Assistant Attorney General 2005 North Central Avenue
4	Phoenix, AZ 85004
5	Darsi J. Axford
6	Address of Record Respondent
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