2

3

4

5

7

8

9

10 11

12

13

1415

16

17

18

19 20

21

22

23

2425

# BEFORE THE ARIZONA BOARD OF BEHAVIORAL HEALTH EXAMINERS

In the Matter of:

Sarah M. Sheridan, LMSW-16433, Licensed Master Social Worker, In the State of Arizona.

RESPONDENT

CASE NO. 2023-0220
CONSENT AGREEMENT

In the interest of a prompt and speedy settlement of the above captioned matter, consistent with the public interest, statutory requirements and responsibilities of the Arizona State Board of Behavioral Health Examiners ("Board"), and pursuant to A.R.S. §§ 32-3281(F) and 41-1092.07(F)(5), Sarah M. Sheridan ("Respondent") and the Board enter into this Consent Agreement, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

# **RECITALS**

Respondent understands and agrees that:

- Any record prepared in this matter, all investigative materials prepared or received by the Board concerning the allegations, and all related materials and exhibits may be retained in the Board's file pertaining to this matter.
- 2. Respondent has the right to a formal administrative hearing at which Respondent can present evidence and cross examine the State's witnesses. Respondent hereby irrevocably waives their right to such formal hearing concerning these allegations and irrevocably waives their right to any rehearing or judicial review relating to the allegations contained in this Consent Agreement.
- Respondent has the right to consult with an attorney prior to entering into this Consent Agreement.

- 4. Respondent acknowledges and agrees that upon signing this Consent Agreement and returning it to the Board's Executive Director, Respondent may not revoke their acceptance of this Consent Agreement or make any modifications to it. Any modification of this original document is ineffective and void unless mutually approved by the parties in writing.
- 5. The findings contained in the Findings of Fact portion of this Consent Agreement are conclusive evidence of the facts stated herein between only Respondent and the Board for the final disposition of this matter and may be used for purposes of determining sanctions in any future disciplinary matter.
- 6. This Consent Agreement is subject to the Board's approval, and will be effective only when the Board accepts it. In the event the Board in its discretion does not approve this Consent Agreement, this Consent Agreement is withdrawn and shall be of no evidentiary value, nor shall it be relied upon or introduced in any disciplinary action by any party hereto, except that Respondent agrees that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or of any records relating thereto.
- 7. Respondent acknowledges and agrees that the acceptance of this Consent Agreement is solely to settle this Board matter and does not preclude the Board from instituting other proceedings as may be appropriate now or in the future. Furthermore, and notwithstanding any language in this Consent Agreement, this Consent Agreement does not preclude in any way any other state agency or officer or political subdivision of this state from instituting proceedings, investigating claims, or taking legal action as may be appropriate now or in the future relating to this matter or other matters concerning Respondent, including but not limited to violations of Arizona's Consumer Fraud Act. Respondent acknowledges that, other than with respect to the Board, this Consent Agreement makes no representations, implied or

18

20

25

otherwise, about the views or intended actions of any other state agency or officer or political subdivision of the state relating to this matter or other matters concerning Respondent.

- 8. Respondent understands that once the Board approves and signs this Consent Agreement, it is a public record that may be publicly disseminated as a formal action of the Board, and that it shall be reported as required by law to the National Practitioner Data Bank.
- 9. Respondent further understands that any violation of this Consent Agreement constitutes unprofessional conduct pursuant to A.R.S. § 32-3251(16)(n) and may result in disciplinary action pursuant to A.R.S. § 32-3281.
- 10. The Board therefore retains jurisdiction over Respondent and may initiate disciplinary action against Respondent if it determines that they have failed to comply with the terms of this Consent Agreement or of the practice act.

The Board issues the following Findings of Fact, Conclusions of Law and Order:

# FINDINGS OF FACT

- Respondent is the holder of License No. LMSW-16433 for the practice of social work in Arizona.
- 2. From 01/23 05/23, Complainant received therapeutic services from a behavioral health agency ("Agency").
- 3. From the start of Complainant's treatment, there are were various instances of her expressing an elevated interest in Respondent.
- In a 01/16/23 clinical supervision session note, it is documented that there were concerns regarding Complainant giving disproportionate attention towards Respondent during a session.
  - 5. In group sessions, Complainant discussed struggling with boundaries.
- In 02/23, Complainant expressed a desire to be friends with Respondent outside of therapy.

- 7. Between 01/23 05/23, Respondent discussed Complainant with her clinical supervisor in approximately eight clinical supervision sessions, noting the importance of healthy boundaries, self-disclosures, and ethical dilemmas.
- 8. Despite clinical supervision discussions and Complainant's self-disclosures, Respondent interacted with Complainant over text message, unprompted at times, between 02/23 05/23.
- Conversations between Respondent and Complainant in 04/23 extended past therapy related topics, were casual in nature, and involved discussion topics such as food and TV shows.
- 10. The complaint outlined various ways Complainant interpreted Respondent's actions and communications, and she felt there were undertones of flirtation and intimacy that were out of the bounds of the therapist/client relationship.
- Respondent represented the following during her investigative interview and in her written response.
  - a. Respondent had no idea how much Complainant was reading into things.
  - b. When Complainant noted she wanted a friendship, Respondent took that as an attachment wound where building rapport is vital.
  - c. Respondent genuinely liked Complainant and enjoyed her as a client.
  - d. Respondent is not sure why she allowed Complainant to stay extra time after a session.
  - e. The main intention with texting other clients is for scheduling and conversations do not go as far as they did with Complainant.
  - f. Respondent allowed their texting communications to become too casual and personal, and it is clear she let her professional guard down.

- g. In hindsight, Respondent would have seen Complainant's behavior as a boundary concern.
- h. Respondent would not have engaged in text threads in the way that they were, and it would have been boundary driven.
- 12. Respondent failed, at times, to set appropriate boundaries with a client who vocalized wanting a relationship outside of the therapeutic setting by engaging in casual text communications.
- 13. Complainant's feelings for Respondent and perceived friendship ultimately led to her terminated services at Agency.
- 14. As noted in the complaint, Complainant was hurt as a result of her interactions with Respondent and has since had to discuss her interactions with Respondent with another therapist.

# **CONCLUSIONS OF LAW**

- 1. The Board has jurisdiction over Respondent pursuant to A.R.S. § 32-3251 et seq. and the rules promulgated by the Board relating to Respondent's professional practice as a licensed behavioral health professional.
- 2. The conduct and circumstances described in the Findings of Fact constitute a violation of A.R.S. § 32-3251(16)(k), engaging in any conduct or practice that is contrary to recognized standards of ethics in the behavioral health profession or that constitutes a danger to the health, welfare or safety of a client, as it relates to the NASW Code of Ethics:

#### 1.06 Conflict of Interest:

(e) Social workers should avoid communication with clients using technology (such as social networking sites, online chat, e-mail, text messages, telephone, and video) for personal or non-work-related purposes.

# <u>ORDER</u>

Based upon the foregoing Findings of Fact and Conclusions of Law, the parties agree to the provisions and penalties imposed as follows:

- Respondent's license, LMSW-16433, will be placed on probation for 24 months, effective from the date of entry as signed below.
- 2. Respondent shall not practice under their license, LMSW-16433, unless they are fully compliant with all terms and conditions in this Consent Agreement. If, for any reason, Respondent is unable to comply with the terms and conditions of this Consent Agreement, they shall immediately notify the Board in writing and shall not practice under their license until they submit a written request to the Board to re-commence compliance with this Consent Agreement. All such requests shall be pre-approved by the Board Chair or designee.
- 3. In the event that Respondent is unable to comply with the terms and conditions of this Consent Agreement, all remaining time frames shall be tolled and remain tolled until such time as they are granted approval to re-commence compliance with the Consent Agreement.

#### Continuing Education

- 4. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock hours of continuing education addressing professional boundaries. All required continuing education shall be pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.
- 5. In addition to the continuing education requirements of A.R.S. § 32-3273, within 12 months of the effective date of this Consent Agreement, Respondent shall complete 3 clock hours of continuing education in use of social media. All required continuing education shall be

25 ||

pre-approved by the Board Chair or designee. Upon completion, Respondent shall submit a certificate of completion of the required continuing education.

# **Clinical Supervision**

6. While on probation, Respondent shall submit to clinical supervision for 24 months by a masters or higher level behavioral health professional licensed by the Arizona Board of Behavioral Health Examiners at the independent level. Within 30 days of the date of this Consent Agreement, Respondent shall submit the name of a clinical supervisor for pre-approval by the Board Chair or designee. Also within 30 days of the date of this Consent Agreement, the clinical supervisor shall submit a letter disclosing their prior relationship to Respondent. In that letter, the clinical supervisor must address why they should be approved, acknowledge that they have reviewed the Consent Agreement and include the results of an initial assessment and a supervision plan regarding the proposed supervision of Respondent. The letter from the supervisor shall be submitted to the Board.

# Focus and Frequency of Clinical Supervision

7. The focus of the supervision shall relate to boundaries, decision-making, client impact, supervisory relationships, use of social media including text messages, and Arizona statutes and rules. Respondent shall meet individually with the supervisor for a minimum of one hour weekly if working fulltime.

### Reports

- 8. Once approved, the supervisor shall submit quarterly reports for review and approval by the Board Chair or designee. The quarterly reports shall include issues presented in this Consent Agreement that need to be reported and the supervisor shall notify the Board if more frequent supervision is needed. Quarterly reports shall include the following:
  - a. Dates of each clinical supervision session.

25 II

- A comprehensive description of issues discussed during supervision sessions.
- All quarterly supervision reports shall include a copy of clinical supervision documentation maintained for that quarter. All clinical supervision documentation maintained by the supervisor shall comply with requirements set forth in A.A.C. R4-6-212(C).
- 10. After Respondent's probationary period, the supervisor shall submit a final summary report for review and approval by the Board Chair or designee. The final report shall also contain a recommendation as to whether the Respondent should be released from this Consent Agreement.

# **Change of Clinical Supervisor During Probation**

11. If, during the period of Respondent's probation, the clinical supervisor determines that they cannot continue as the clinical supervisor, they shall notify the Board within 10 days of the end of supervision and provide the Board with an interim final report. Respondent shall advise the Board Chair or designee within 30 days of cessation of clinical supervision by the approved clinical supervisor and provide the name of a new proposed clinical supervisor. The proposed clinical supervisor shall provide the same documentation to the Board as was required of the initial clinical supervisor.

## **Early Release**

12. After completion of the stipulations set forth in this consent agreement, and upon the supervisor's recommendation, respondent may request early release from the consent agreement after 12 months.

#### **GENERAL PROVISIONS**

# **Provision of Clinical Supervision**

13. Respondent shall not provide clinical supervision to associate level licensees accruing and submitting hours towards independent licensure while subject to this Consent Agreement.

# Civil Penalty

- 14. Subject to the provisions set forth in paragraph 15, the Board imposes a civil penalty against the Respondent in the amount of \$1,000.00.
- 15. Respondent's payment of the civil penalty shall be stayed so long as Respondent remains compliant with the terms of this Consent Agreement. If Board staff determines that Respondent is noncompliant with the terms of this Consent Agreement in any respect, with the exception of the tolling provision under paragraph 3, the stay of the civil penalty payment shall be automatically lifted and payment of the civil penalty shall be made by certified check or money order payable to the Board within 30 days after being notified in writing of the lifting of the stay.
- 16. Within 10 days of being notified of the lifting of the stay, Respondent may request that the matter be reviewed by the Board for the limited purpose of determining whether the automatic lifting of the stay was supported by clear and convincing evidence. If the Board receives the written request within 10 days or less of the next regularly scheduled Board meeting, the request will not be heard at that meeting, but will be heard at the next regularly scheduled Board meeting. The Board's decision on this matter shall not be subject to further review.
- 17. The Board reserves the right to take further disciplinary action against Respondent for noncompliance with this Consent Agreement after affording Respondent notice and an opportunity to be heard. If a complaint is filed against Respondent for failure to comply

25 || -

with this Consent Agreement, the Board shall have continuing jurisdiction until the matter is final and the period of probation shall be extended until the matter is final.

- 18. If Respondent currently sees clients in their own private practice, and obtains any other type of behavioral health position, either as an employee or independent contractor, where they provide behavioral health services to clients of another individual or agency, they shall comply with requirements set forth in paragraphs 19 through 21 below.
- 19. Within 10 days of the effective date of this Order, if Respondent is working in a position where Respondent provides any type of behavioral health related services or works in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee with a signed statement from Respondent's employer(s) confirming Respondent provided the employer(s) with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days of the effective date, the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.
- 20. If Respondent is not employed as of the effective date of this Order, within 10 days of accepting employment in a position where Respondent provides any type of behavioral health related services or in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee with a written statement providing the contact information of their new employer and a signed statement from Respondent's new employer confirming Respondent provided the employer with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days, as required, Respondent's failure to provide the required statement to the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.

- 21. If, during the period of Respondent's probation, Respondent changes employment, resigns, is involuntarily terminated, resigns in lieu of termination, or goes on extended leave of absence for whatever reason that may impact their ability to timely comply with the terms of probation, Respondent shall, within 10 days of the aforementioned acts, inform the Board of their change of employment status. After the change and within 10 days of accepting employment in a position where Respondent provides any type of behavioral health related services or in a setting where any type of behavioral health, health care, or social services are provided, Respondent shall provide the Board Chair or designee a written statement providing the contact information of their new employer(s) and a signed statement from Respondent's new employer(s) confirming Respondent provided the employer(s) with a copy of this Consent Agreement. If Respondent does not provide the employer's statement to the Board within 10 days, as required, Respondent's failure to provide the required statement to the Board shall be deemed a violation of A.R.S. § 32-3251(16)(n) and the Board will provide Respondent's employer(s) with a copy of the Consent Agreement.
- 22. Respondent shall practice behavioral health using the name under which they are licensed. If Respondent changes their name, they shall advise the Board of the name change as prescribed under the Board's regulations and rules.
- 23. Prior to the release of Respondent from probation, Respondent must submit a written request to the Board for release from the terms of this Consent Agreement at least 30 days prior to the date they would like to have this matter appear before the Board. Respondent may appear before the Board, either in person or telephonically. Respondent must provide evidence that they have successfully satisfied all terms and conditions in this Consent Agreement. The Board has the sole discretion to determine whether all terms and conditions of this Consent Agreement have been met and whether Respondent has adequately demonstrated that they have addressed the issues contained in this Consent Agreement. In the event that the

1	Board determines that any or all terms and conditions of this Consent Agreement have not been			
2	met, the Board may conduct such further proceedings as it determines are appropriate to			
3	address those matters.			
4	24.	Respondent shall bear all costs relating	g to probation terms required in this	
5	Consent Agreement.			
6	25.	Respondent shall be responsible for ensu	uring that all documentation required in	
7	this Consent Agreement is provided to the Board in a timely manner.			
8	26.	This Consent Agreement shall be effective	e on the date of entry below.	
9	27.	This Consent Agreement is conclusive ev	ridence of the matters described herein	
10	and may be considered by the Board in determining appropriate sanctions in the event a			
11	subsequent violation occurs.			
12	PRO	FESSIONAL ACCEPTS, SIGNS AND DATE	ES THIS CONSENT AGREEMENT	
14	Sarah Sher	iдan	Nov 2, 2023	
15	Sarah M. Sh	neridan	Date	
1.:) I		ionaan		
16	E		IS CONSENT AGREEMENT	
		SOARD ACCEPTS, SIGNS AND DATES TH		
16 17	ву: М	COARD ACCEPTS, SIGNS AND DATES TH	Nov 7, 2023	
16 17 18	Ву: <u>М</u>	SOARD ACCEPTS, SIGNS AND DATES TH		
16 17 18	Ву: <u>М</u>	SOARD ACCEPTS, SIGNS AND DATES THE Consideration of Behavioral Health Examiners	Nov 7, 2023	
16 17 18 19	By: TOB Arizo	SOARD ACCEPTS, SIGNS AND DATES TH	Nov 7, 2023	
16 17 18 19 20	By: TOB Arizo	I ZAVALA, Executive Director on a Board of Behavioral Health Examiners	Nov 7, 2023	
16 17 18 19	By: TOB Arizo  ORIGINAL owith:  Arizona Boa	I ZAVALA, Executive Director on a Board of Behavioral Health Examiners  of the foregoing filed Nov 7, 2023  rd of Behavioral Health Examiners Adams Street, Suite 3600	Nov 7, 2023	
16 17 18 19 20 21	By:  TOB Arizo  ORIGINAL of with:  Arizona Boa 1740 West Arizona, AZ	I ZAVALA, Executive Director on a Board of Behavioral Health Examiners  of the foregoing filed Nov 7, 2023  rd of Behavioral Health Examiners Adams Street, Suite 3600	Nov 7, 2023  Date	

1	Mona Baskin
2	Assistant Attorney General 2005 North Central Avenue Phoenix, AZ 85004
3	
4	Sarah M. Sheridan Address of Record Respondent
5	·
6	Michael Ryan Holden & Armer, P.C. 4505 E. Chandler Blvd., Ste. 210
7	Phoenix, AZ 85048 Attorney for Respondent
8	Attorney for Nespondent
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	